

Argos Capital Partners, LLC Part 2B of Form ADV The Brochure Supplement

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This brochure supplement provides information about Paul Tice, Robert Ensor, Wesley Rarick, Shaun Walsh and Kurt Wittenauer. It supplements Argos Capital Partners, LLC's ("ACAP" or the "Company") accompanying Form ADV brochure. Please contact ACAP's Chief Compliance Officer, Paul Tice, at 314-898-9893 if you have any questions about the Form ADV brochure or this supplement, or if you would like to request additional or updated copies of either document.

Additional information about Messrs. Tice, Ensor, Rarick, Walsh and Wittenauer is available on the SEC's website at www.adviserinfo.sec.gov.

Key Employee Biographical Information

General education and business experience includes a minimum of a bachelor's degree in a related field plus having attained or in the process of attaining the Chartered Financial Analyst designation.

Paul N. Tice's Biographical Information

Education and Business Background

Paul N. Tice, Manager

Date of Birth: July 12, 1967

John M. Olin School of Business, B.S. Business Administration (Concentration in Finance and Accounting)

Washington University School of Law, J.D.

Chartered Financial Analyst

Argos Capital Partners, Managing Partner 2008 - present

Consolidated Financial Investments, Municipal Principal October 1999-Present

Consolidated Financial Investments, Registered Representative 1990-Present

KE2Therm, Board Chair, 2011 - Present

Tice Asset Management LLC, managing member 2000-2008

Consolidated Financial Investments, Board of Directors/FINOP, 1998 - 2012

DFC Group, Inc., investment analyst, 1998 – 2008

Rosenblum, Goldenhersh, Silverstein and Zafft, attorney, 1996-1998

Price Waterhouse, LLP, tax analyst, 1994-1996

CFA Charter Minimum Qualifications: The Charter Financial Analyst (CFA) designation is an international professional certification issued by the CFA Institute to qualified candidates who complete a series of three examinations. To become a candidate for a CFA charter, candidates must meet one of the following requirements: 1) Undergraduate degree and four years of professional experience involving investment decision-making, or; 2) Four years qualified work experience (full time, but not necessarily investment related). Candidates may become a CFA Charterholder if they successfully pass three course exams, Levels 1, 2, and 3. The CFA Institute has stated that the average candidate may need approximately 250 hours of study for each of the three levels. The CFA curriculum includes these topic areas: Ethical and Professional Standards; Quantitative Methods (such as the time value of money, and statistical inference); Economics; Financial Reporting and Analysis; Corporate Finance; Analysis of Investments (stocks, bonds, derivatives, venture capital, real estate, etc.); Portfolio Management and Analysis (asset allocation, portfolio risk, performance measurement, etc.) CFA Charterholders are also obligated to adhere to a strict Code of Ethics and Standards governing their professional conduct. More information on the CFA charter is available at www.cfainstitute.org.

Disciplinary Information

Mr. Tice has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Mr. Tice or of ACAP.

Other Business Activities

Mr. Tice is a registered representative and municipal principal at the brokerage firm Consolidated Financial Investments (“CFI”). Mr. Tice does not personally receive any fees or commissions for his roles at CFI. Mr. Tice and his family at one time were shareholders of CFI but when ACAP was created Mr. Tice sold his entire interest in CFI. Mr. Tice’s father is also a compensated Board Member of CFI. CFI receives brokerage commissions on securities transactions that ACAP executes through CFI. ACAP has a conflict of interest in directing the execution of clients’ trades to CFI as a result of the arrangements disclosed above. The conflict is addressed by an ongoing analysis of best execution by employees of the Company. Further, it is ACAP’s policy to seek best execution on clients’ securities transactions. Despite the conflict, ACAP is comfortable that the use of CFI as one of our brokers for families remains consistent with our duty to seek best execution.

Prior to ACAP, Mr. Tice managed a small private equity fund. While he no longer receives income from the fund, he still is involved in its liquidation of remaining investments.

Other than the above, Mr. Tice is not engaged in any other investment related business, and does not receive compensation in connection with any business activity outside of ACAP.

Additional Compensation

Other than the disclosures above, Mr. Tice does not receive economic benefits from any person or entity other than ACAP in connection with the provision of investment advice to clients.

Supervision

Mr. Tice is the Chief Investment Officer of ACAP and is responsible for overseeing all final investment decisions. Investment strategy and operational issues are discussed with Mr. Robert Ensor. Any of these individuals can be reached directly by calling the telephone number on the cover of this brochure supplement.

Robert P. Ensor’s Biographical Information

Education and Business Background

Robert P. Ensor, Director of Investments

Date of Birth: September 11, 1967

University of Missouri, Columbia B.S. Business Administration (Management)

University of Missouri, Columbia B.A. (English)

University of Missouri, St. Louis, M.B.A.

Chartered Financial Analyst

Chartered Alternative Investment Analyst (“CAIA”)

Argos Capital Partners, Director of Investments, 2008 – present

Moneta Group, Director of Investments, 2005 – 2008

Bank of America, Senior Private Lending Specialist, 2003 – 2005

Bank of America, Equity Analyst, 2000 – 2003

Bank of America, Middle Market Lending 1995 – 1999

Bank of America, Personal Banking, Merchant Credit Card RM 1993-1995

CFA Charter Minimum Qualifications: The Charter Financial Analyst (CFA) designation is an international professional certification issued by the CFA Institute (formerly AIMR) to qualified candidates who complete a series of three examinations. To become a candidate for a CFA charter, candidates must meet one of the following requirements: 1) Undergraduate degree and four years of professional experience involving investment decision-making, or; 2) Four years qualified work experience (full time, but not necessarily investment related). Candidates may become a CFA Charterholder if they successfully pass three course exams, Levels 1, 2, and 3. The CFA Institute has stated that the average candidate may need approximately 250 hours of study for each of the three levels. The CFA curriculum includes these topic areas: Ethical and Professional Standards; Quantitative Methods (such as the time value of money, and statistical inference); Economics; Financial Reporting and Analysis; Corporate Finance; Analysis of Investments (stocks, bonds, derivatives, venture capital, real estate, etc.); Portfolio Management and Analysis (asset allocation, portfolio risk, performance measurement, etc.). CFA Charterholders are also obligated to adhere to a strict Code of Ethics and Standards governing their professional conduct. More information on the CFA charter is available at www.cfainstitute.org.

Chartered Alternative Investment Analyst Minimum Qualifications: The Chartered Alternative Investment Analyst (“CAIA”) is an international professional certification issued by the CAIA Association. The CAIA program comprises a two-tier exam process through which one may earn the CAIA charter, a designation for professionals in alternative investments. The right to use the CAIA designation is earned by (1) successfully completing the CAIA program (passing both the Level I and Level II exams) and (2) becoming a member of the CAIA Association. To qualify for membership in the Association, you must fulfill all the following requirements: 1) Pass the Level II exam within three years of passing the Level I exam; 2) Hold a U.S. bachelor's degree or the equivalent, and have more than one year of professional experience, or alternatively have at least four years of professional experience; 3) Submit payment for the annual CAIA Association membership fee, and; 4) Agree on an annual basis to abide by the Member Agreement. The curriculum is regularly revised; it does not remain static from exam cycle to exam cycle. More information on the CAIA designation is available at www.CAIA.org.

Disciplinary Information

Mr. Ensor has not been involved in any legal or disciplinary events that would be material to a client’s evaluation of Mr. Ensor or of ACAP.

Other Business Activities

Mr. Ensor is not engaged in any other investment related business and does not receive compensation in connection with any business activity outside of ACAP.

Additional Compensation

Mr. Ensor does not receive economic benefits from any person or entity other than ACAP in connection with the provision of investment advice to clients.

Supervision

Mr. Ensor's investment recommendations are supervised by ACAP's Mr. Tice. Mr. Tice can be reached directly by calling the telephone number on the cover of this brochure supplement.

Wesley M. Rarick's Biographical Information

Education and Business Background

Wesley M. Rarick, Managing Director

Date of Birth: July 20, 1980

Miami University, BSBA Accounting and Finance

Washington University in St Louis, M.B.A (concentration in corporate strategy and private equity)

Argos Capital Partners, Managing Director, 2018 – present

Lewis and Clark Capital (including subsidiaries), Operating Partner, 2012 – 2018

Salesforce.com, Manager Financial Planning and Analysis, 2008 – 2010

Protiviti, Manager Business Consulting, 2004 – 2008

Crowe Chizek, Auditor, 2002 - 2004

Disciplinary Information

Mr. Rarick has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Mr. Rarick or of ACAP.

Other Business Activities

Mr. Rarick has some legacy payments and interests related to his prior involvement in Lewis and Clark Capital but provides no ongoing services in connection with the payments or interests.

Additional Compensation

Mr. Rarick has some legacy payments and interests related to his prior involvement in Lewis and Clark Capital but provides no ongoing services in connection with the payments or interests.

Supervision

Mr. Rarick's investment recommendations are supervised by ACAP's Mr. Tice and Mr. Ensor. Mr. Tice and Mr. Ensor can be reached directly by calling the telephone number on the cover of this brochure supplement.

Shaun P. Walsh's Biographical Information

Education and Business Background

Shaun P. Walsh, Vice President

Date of Birth: March 4, 1988

University of Dayton, B.S. Business Administration (Concentration in Finance)

Webster University, M.B.A.

Argos Capital Partners, Senior Associate, 2016 – present
Warson Capital Partners, Senior Associate, 2015 – 2016
Warson Capital Partners, Associate, 2014 – 2015
Center Oil Company, Operations/Trader, 2010 – 2014
SoCo Sealing and Striping, Owner, 2007 – 2015

Disciplinary Information

Mr. Walsh has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Mr. Walsh or of ACAP.

Other Business Activities

Mr. Walsh acts on the Board of Advisors for PALM Integrative Health but does not receive compensation in connection with this or any other business activity outside of ACAP.

Additional Compensation

Mr. Walsh does not receive economic benefits from any person or entity other than ACAP in connection with the provision of investment advice to clients.

Supervision

Mr. Walsh's investment recommendations are supervised by ACAP's Mr. Tice and Mr. Ensor. Mr. Tice and Mr. Ensor can be reached directly by calling the telephone number on the cover of this brochure supplement.

Kurt S. Wittenauer's Biographical Information

Education and Business Background

Kurt S. Wittenauer, Vice President
Date of Birth: April 7, 1983
Cornell University, BA in English (concentration in Law and Society)
Washington University in St Louis, J.D.
Washington University in St Louis, M.B.A (concentration in Corporate Finance and Banking)

Argos Capital Partners, Vice President, 2020 – present
Rockwood Group, Director, 2016 – 2019
SunEdison, Inc., Director, 2014 – 2016
FTL Capital, LLC and Affiliates, Senior Associate, 2011 – 2014
US Bancorp Community Development Corporation, Project Manager Associate, 2011

Disciplinary Information

Mr. Wittenauer has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Mr. Wittenauer or of ACAP.

Other Business Activities

Mr. Wittenauer has investments in multifamily property and is a member of entities which own and manage multifamily property.

Additional Compensation

Mr. Wittenauer receives investment income from his investments in multifamily properties and his ownership in entities which own and manage multifamily property.

Supervision

Mr. Wittenauer's investment recommendations are supervised by ACAP's Mr. Tice and Mr. Ensor. Mr. Tice and Mr. Ensor can be reached directly by calling the telephone number on the cover of this brochure supplement.